Top up form

Company, Charity, Pension Trust and Private Trust accounts

How to fill in this form:

- Please use black ink and write clearly inside the boxes provided using capital letters.
- Mark your answers with a cross in the appropriate box like this: ☒
- If you make a mistake, please correct it but don't use correction fluid.
- You must complete all sections of this form, except those marked 'if applicable'. Not doing so could delay your application.

Who can make payments

- For a pension trust, we accept payment from the scheme bank account only.
- For companies and charities , we accept payment from the entity's bank account only.
- For Private Trusts we can accept payments from:
  - The Trustees or Settlor
  - Trust bank account
  - Solicitors client account if professionally acting for the trust

- We can accept a cheque or an electronic payment. Please quote your account number as reference, if making a payment electronically.
- We will verify the payer; there may be circumstances where additional information is required, at which point we will contact you.

What's next?

Please send your completed application form to:

Fidelity
PO Box 391
Tadworth
KT20 9FU

1 About the account

Account number

1.1 - Name of a trustee for private trust

Surname

First and other names in full

Telephone number

1.2 - Name of the company, charity, pension trustee or scheme

Details for the corporate body or scheme in case of any queries for this instruction

Surname

First name

Telephone number

Email address

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2 Where is the payment coming from?

Bank name

Account holder name

Account number

Sort code

3 Payment method

☐ **Cheque/banker's draft** – Cheque/banker's draft – must be made payable to Fidelity and include the account holder's name. E.G. "Fidelity re: account holder's name". In addition, for banker's drafts and building society cheques, the reverse of the draft/cheque must contain the details of the original account debited including the full name, account number and sort code and must be endorsed with the bank's official stamp.

OR

☐ **Bank transfer** Please make the payment to the following bank account

Bank:	**Barclays**	Account number:	**63950972**
Account Name:	**FASL Primary Client Account**	Sort code:	**209332**

Reference: **IMPORTANT:** Please put the Fidelity Account number as the reference for your payment.

IMPORTANT

If payment is received prior to receipt of application, we cannot guarantee that it will be matched to the instruction

4 Your investment choices

Please provide your investment choices and the amounts you want to invest below. It's important to write the fund/ISIN code clearly inside the boxes provided using capital letters – we use the code to determine your investment choice, not the investment name. You can find details of all investment options, fund codes and ISIN codes at fidelity.co.uk

If you are looking to invest into Exchange Traded Instruments (ETIs), please note that only full shares will be purchased. Any cash that is left over from the purchase will be placed into the cash holding within the account. If there is an insufficient amount to purchase shares, the whole amount will be deposited into cash within the account.

There are specific charges related to dealing on an ETI; please refer to our website or our Client Terms for more information and the payment may be returned.

FUND CODE / ISIN / SEDOL	FUND NAME	Lump Sum
		.
		.
		.
		.
		.
		.
		.
		.
		.
		.
P C A	Cash	.
	Total Investment Amount (£)	.
FOR ADVISER USE ONLY	Initial Fee Amount (£)	.
	Total Amount (£) (This is the total of the investment amount and the initial fee amount)	.

Investment made into Cash will be placed into the cash holding within the account
If you have chosen income bearing investments, we will follow your existing instructions. If you wish to change your income settings, please complete the Income form.
If no income instruction is in place, the income will be treated by a default choice; this will depend whether you are investing directly with us or you are an adviser making investment on behalf of your client.

- If you are investing directly, the default setting will result in the income being paid to the cash within your account
- If you are an adviser, the default setting will result in the income being reinvested

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5 Intermediary details (if applicable)

If you wish to link the account to a DFM, select investment choice as cash; once the cash has settled in the account, you'll be able to place a rebalance to the discretionary model portfolio

If your client's account is already linked to the DFM's model portfolio then you can enter the model portfolio fund splits into the table on section 4 and we will invest as indicated

Company stamp

Unique Adviser Number

FCA number

I confirm that I am registered with the FCA to conduct business and my authorisation number is:

Any existing Adviser Ongoing Fee or Discretionary Fund Manager Ongoing Fee on the account will be applied to these assets. If you wish to set up or amend the Adviser Ongoing Fees or Discretionary Fund Manager Ongoing Fees you can do this online.

Intermediary signature

Date signed

(DDMMYYYY)

6 Declaration and signature

By signing this form, I/We declare that:

- I/We understand that the information I/we provide on this application form will be processed in accordance with Fidelity's privacy statement, a link to which is contained in the Fidelity Client Terms or the "Your personal information" section of the Fidelity Adviser Solutions Client Terms (as applicable). I/We understand that such information will be held in confidence and not passed to any company other than as outlined without my/our permission or unless required by law
- The information I/we have given is correct to the best of my knowledge, and I/We will tell Fidelity immediately if any of it changes.
- I/We have read and agree the latest Fidelity Client Terms or the Fidelity Adviser Solutions Client Terms (as applicable)
- I/We I have read and agree the latest Key Features Document, either Doing Business with Fidelity or Doing Business with Fidelity Adviser Solutions (as applicable)
- I/We have read the latest key information document
- I/We have read the illustration document

For Corporate bodies, we need the signatures of two authorised signatories, unless the signatory list states that one is enough. Please provide an up to date signatory list with this form.

For Trust accounts, all trustees must sign.

FIRST CORPORATE BODY SIGNATURE

✘ ✘

FIRST CORPORATE BODY PRINT NAME

SECOND CORPORATE BODY SIGNATURE

✘ ✘

SECOND CORPORATE BODY PRINT NAME

SIGNATURE OF FIRST TRUSTEE

✘ ✘

PRINT NAME

SIGNATURE OF SECOND TRUSTEE

✘ ✘

PRINT NAME

SIGNATURE OF THIRD TRUSTEE

✘ ✘

PRINT NAME

SIGNATURE OF FOURTH TRUSTEE

✘ ✘

PRINT NAME

Date signed

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