

3 Executor(s) or Administrator(s) (continued)

Address

House number/name

Street, city, county and country

 Postcode

Signature

Print name

Date signed

 (DDMMYYYY)

Executor or Administrator 4

Title

 Mr Mrs Ms Other

Gender

 Male Female

Surname

First and other names in full

Address

House number/name

Street, city, county and country

 Postcode

Signature

Print name

Date signed

 (DDMMYYYY)

4 Transferee details (new holder) (continued)

Would you like Joint Holders on this account? If yes please mark this box

All holders must sign Section 6 (Declaration and signature). The Joint Holder Supplement Form must also be completed and returned with this application form.

5 Intermediary details (Recipient Account)

Company stamp

Unique Adviser Number

Office Use Only
Please ignore

FCA number

I confirm that I am registered with the FCA to conduct business and my authorisation number is:

Remuneration details

Have you provided a personal recommendation?

(You must mark either the Yes or No box)

Yes

No

Please note these assets will be added to your client's fee account and if an Adviser Ongoing Fee has previously been setup this will be automatically applied. An Initial Fee cannot be applied to this type of instruction.

An Adviser Ongoing Fee cannot be applied to this type of investment using an application form. If you would like to setup an Adviser Ongoing Fee, it must be done online once the assets have been received.

Verification of identity

I/We confirm and consent to your reliance upon the fact that I/we have verified the identity of the client named in section 4 in accordance with the Money Laundering Regulations and standards set in Guidance issued by the JMLSG. Please mark an X in this box.

This confirmation must carry an original signature or electronic equivalent.

I/We confirm that I/we have provided the client with the appropriate documentation for their investment:

- The Key Features Document – Doing Business with FundsNetwork.
- The Key Investor Information Document and/or Fund Specific Information document applicable to the clients' investment.
- The FundsNetwork Client Terms.

Your signature

Intermediary signature



Date signed

(DDMMYYYY)

6 Declaration and signature(s)

The underlying funds are to be transferred (outside the ISA wrapper). This will be done by selling the account and investing the proceeds in an Investment Funds Account in the name of the beneficiary. These transactions only reflect the administrative transfer of legal ownership from the estate to the beneficiary, not the vesting of beneficial ownership, which occurs separately under the estate and therefore generally will not count as a disposal and acquisition for CGT purposes.

- Where we offer the same share class of the fund that the deceased held in an ISA, we will purchase the same number of units in the beneficiary's Investment Funds Account
- Where we do not offer the same share class of the fund that the deceased held in an ISA, we will invest the monetary proceeds in the clean share class version of the funds which will therefore be subject to market movements
- I/We understand that the information I/we provide on this application form will be processed in accordance with Fidelity's data protection statement contained in the FundsNetwork Client Terms.
- I/We hereby accept the transfer of the shares, detailed in Section 4, into my/our account.

I/We declare that:

- **By signing this form I/we confirm that I am not/ we are not a US citizen, that I am not/we are not resident in the US, and that I do not/we do not have an obligation to pay tax to the US tax authorities on my/our worldwide income.**
- My adviser has provided me with the following documents either as an electronic version, which I/we have saved or printed, or as a paper copy and I/we have read:
 - Key Features Document (Doing Business with FundsNetwork)
 - the Key Investor Information Document and/or Fund Specific Information
 - and accept the FundsNetwork Client Terms. For your own benefit and protection you should read the FundsNetwork Client Terms carefully before signing them.
- I/We have read the Stock Transfer document - Stock Transfers: What you need to know
- Where I/we have elected to move the holdings into an alternative share class, I/We understand that the charges (and possibly income options) of the new share class may differ from the existing share class and that for a dual-priced fund, a bid-offer spread may be charged.

- All subscriptions made, and to be made, belong to me/us, and I am/we are 18 years of age or over.
- The information given by me/us is correct to the best of my/our knowledge, and I/we will inform Fidelity immediately of any changes to the information provided.

Signatures of all applicants

Primary account signature

Print name

Second account signature

Print name

Third account signature

Print name

Fourth account signature

Print name

Date signed

(DDMMYYYY)

Note: for applications with joint holders the Joint Holder Supplement Form must also be completed and returned with this application form.

7 Checklist before submission

- Grant of Representation (Please refer to the guide for Executors and Administrators).
- Fidelity Funds/Fidelity Funds II (SiCAV) Application form – for NEW Fidelity account holder for transfer of offshore holdings. This must be completed for each recipient. Offshore holdings can be identified on the Statement and Valuation at the date of death.
- Written instruction for non-eligible funds. Please refer to the Stock Transfers: What you need to know document.
- Copies of this form, if there are multiple recipients, showing the percentage splits for investment.
- Joint Holder Supplement Form to be included where new account has joint holders.