Selling Investment Funds (in the event of a death)

Fidelity INTERNATIONAL

Internal Ref: Form C

How to fill in this form:

- **This should be completed by all Executor(s) or Administrator(s) and be sent to us with a sealed Grant of Representation.**
- This form should be used to sell the Investment Funds holdings of a Fidelity investor who has died.
- Please use black ink and write clearly inside the boxes provided using capital letters.
- Mark your answers with a cross in the appropriate box like this: ☒
- If you make a mistake, please correct it but don't use correction fluid.
- Any applications received that are not completed correctly may incur delays or could be returned to you.
- If you have any queries about this form please call our Customer Services Team on **0800 41 41 16**
- Applications can only be accepted using original forms. Photocopies or faxed copies cannot be accepted.

What's next?

Please send your completed application form to:

Fidelity
PO Box 391
Tadworth, KT20 9FU

1 About the late account holder

Investment Funds account number ☐☐☐☐☐☐☐☐☐☐

Date of death (DDMMYYYY) ☐☐☐☐☐☐☐☐

2 Estate information

I/We the Executor(s)/Administrator(s) of the Estate of

DECEASED

request that the above mentioned Investment Funds account is sold and the proceeds released in accordance with Fidelity's redemption policy.

3 Executor(s) or Administrator(s)

Executor or Administrator 1

Title

☐ Mr ☐ Mrs ☐ Ms Other:

Gender

☐ Male ☐ Female

Surname

First and other names in full

Address

House number/name

Street, city, county and country

Postcode

Are you a UK National only? (Please mark an X in the box) ☐

Are you a UK National and National of one or more other countries? (tick box and list all other countries overlief) ☐

/11.20/v5.0/

InvFundsDecdIntP

Are you a National of Non-UK countries only? (tick box and list all other countries below) ☐

Nationality 1

Nationality 2

Nationality 3

Nationality 4

From 3rd January 2018 in order to invest in exchange traded products (Investment Trusts or Exchange Traded Funds) we are required to capture a national ID for clients for one of their nationalities for reporting purposes. If your client is a UK Citizen, this is the National Insurance Number. If your client is dual national or a national of another country then another identifier may be required. Please refer to our guide for capturing nationalities. We are allowing capture of this information online so your clients can invest in existing or new exchange traded products after 3rd January 2018.

National Identifier

Signature

Print name

Date signed

(DDMMYYYY)

Executor or Administrator 2

Title

☐ Mr ☐ Mrs ☐ Ms Other:

Gender

☐ Male ☐ Female

Surname

First and other names in full

Address - 'Care of' and PO Box are not acceptable. Only UK addresses are eligible unless you are a Crown Employee

House number/name

Street, city, county and country

Postcode

Are you a UK National only? (Please mark an X in the box) ☐

Are you a UK National and National of one or more other countries? (tick box and list all other countries below) ☐

Are you a National of Non-UK countries only? (tick box and list all other countries below) ☐

Nationality 1

Nationality 2

Nationality 3

Nationality 4

3 Executor or Administrator(s) (continued)

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National Identifier

Signature

Print name

Date signed

(DDMMYYYY)

Executor or Administrator 3

Title

☐ Mr ☐ Mrs ☐ Ms Other:

Gender

☐ Male ☐ Female

Surname

First and other names in full

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National Identifier

3 Executor or Administrator(s) (continued)

Signature

Print name

Date signed

(DDMMYYYY)

Executor or Administrator 4

Title

Mr Mrs Ms Other:

Gender

Male Female

Surname

First and other names in full

Address - 'Care of' and PO Box are not acceptable. Only UK addresses are eligible unless you are a Crown Employee

House number/name

Street, city, county and country

Postcode

Are you a UK National only? (Please mark an X in the box)

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Nationality 1

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National Identifier

Signature

Print name

Date signed

(DDMMYYYY)

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4 Settlement details (must be completed)

Please complete this section to confirm the details of the Payee including the address to be used to notify the transaction has been placed.

The cheque will only be made payable to the registered Executor(s) or the Probate Solicitor's client account.

Payee name(s)

Your reference (if applicable)

Address - 'Care of' and PO Box are not acceptable. Only UK addresses are eligible unless you are a Crown Employee

House number/name

Street, city, county and country

Postcode

5 Bank account details

We can only make payments to a client account in the name of the Executor(s) or Probate Solicitor.

If requesting bank payments then please ensure that section 4 is also completed.

Fidelity INTERNATIONAL

Please fill in the whole form using a ballpoint pen and send to:
Fidelity, PO Box 391, Tadworth, KT20 9FU.

Name and full postal address of your bank or building society

To: The Manager Bank/building society

Address

Postcode

Name(s) of account holder(s)

Bank/building society account number

Branch sort code

For a Building Society account please provide the following additional information to receive direct credit payments.

Building Society Collection Account Number (if applicable) *

* Building Society accounts – the building society collection account number can be obtained from your Building Society branch. Please ensure that your Building Society account will accept direct credit payments through the Banks Automated Clearing system. Fidelity does not accept instructions for payments to be made to an account other than the client's own personal account. If the account number and sort code are incorrect, Fidelity will not accept responsibility for any loss incurred by the applicant.