

# The Fidelity SIPP

## Third Party Application form

### Use this form to:

- apply for a Fidelity SIPP with a single or regular payment from your spouse/civil partner or any other third party. You will also be able to make payments, should you wish to do so, once the account has been opened.

### Don't use this form if:

- you are making payments/transfers to your pension
- your employer is making payments to your pension
- you are applying for a Junior SIPP.

### Before you fill in this form:

- make sure you read the important documents shown in the box to the right.

### How to fill in this form

- please use black ink and write clearly inside the boxes provided using capital letters
- mark your answers with a cross in the appropriate box like this:
- if you make a mistake, please correct it but don't use correction fluid.

### Checklist

- Check that you have answered all the relevant questions
- If a single contribution is being made by cheque, remember to attach the cheque(s) as per section 4.
- If regular contributions are being made, remember to complete and sign the Direct Debit Instruction(s) in section 4.
- Please ensure that this cheque payment is separate to other payments that are made to us. Building Society and Bank Draft payments must bear a reference to confirm that this is the spouse/civil partner or any other third party's money. Please ensure their name is clearly added by the issuing bank only, on the face of the cheque
- Read and sign the Declaration in section 6.

### What's next?



Remove the Direct Debit Guarantee, then send your completed form to:

**Fidelity**  
**PO Box 391**  
**Tadworth**  
**KT20 9FU**



### Further information on [fidelity.co.uk](https://www.fidelity.co.uk)

You should read these documents before completing this form. You will find them at [fidelity.co.uk/sippinfo](https://www.fidelity.co.uk/sippinfo)

- Terms and Conditions - this document governs our relationship with you and forms the agreement between us in relation to the Fidelity SIPP.
- Key Features Document - this summarises everything you need to know about the Fidelity SIPP
- When choosing your funds make sure you read the key information document or product summary which sets out key information about the fund including the objectives and risks, what it invests in and its charges.

### Want to talk to us?

Freephone **0800 358 7480**

Mon-Fri 8am-6pm and Sat 9am-6pm

## The Fidelity SIPP Third Party Application form

# 1 About you

## 1.1 Your details

### 1.1.1 Title

Mr  Mrs  Ms  Other:

### 1.1.2 Surname

### 1.1.3 First and other names in full

### 1.1.4 Gender

Male  Female

### 1.1.5 Date of birth (DDMMYYYY)

### Town of birth

### 1.1.6 Country of birth

### 1.1.7 Employment status

Employed  Self-employed  Unemployed  Full-time education  Pensioner  
 Caring for a person aged 16 or over  Caring for children under 16  Other - please specify below.

### 1.1.8 Do you have a National Insurance (NI) number? You can find this on a payslip or a letter from HMRC or DWP.

No  Yes -

### 1.1.9 Are you a UK National only? (Please mark an X in the box)

### 1.1.10 Are you a UK National and National of one or more other countries?

(Please mark an X in the box and list all other countries below)

### 1.1.11 Are you a National of Non-UK countries only?

(Please mark an X in the box and list all other countries below)

#### Nationality 1

#### Nationality 2

#### Nationality 3

#### Nationality 4

### 1.1.12 Driving Licence number (if applicable - 18 characters as shown on your photocard)

### 1.1.13 Phone numbers

Home

Mobile



## 2 About the spouse/civil partner or other third party

### 2.1 Their details

#### 2.1.1 Title

Mr  Mrs  Ms  Other:

#### 2.1.2 Surname

#### 2.1.3 First and other names in full

#### 2.1.4 Gender

Male  Female

#### 2.1.5 Date of birth (DDMMYYYY)

#### Town of birth

#### 2.1.6 Country of birth

#### 2.1.7 Employment status

Employed  Self-employed  Unemployed  Full-time education  
 Pensioner  Caring for children under 16  Other - please specify below.

#### 2.1.8 Driving Licence number (if applicable - 18 characters as shown on your photocard)

#### 2.1.9 Their address. 'Care of' and PO Box are not acceptable.

House number/name

Street, city, county and country

Postcode



We are currently changing our administration system. Please complete both of the attached Direct Debit forms on this page. We will then set up your regular contribution using only those details necessary to complete your instruction.



Instruction to your bank or building society to pay by Direct Debit



Please fill in the whole form using a ballpoint pen and send to:  
Fidelity, PO Box 391, Tadworth, KT20 9FU.

**Name and full postal address of your bank or building society**

To: The Manager	Bank/building society
Address	
Postcode	

**Service User Number**

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**Reference**

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**Name(s) of account holder(s)**

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**Instruction to your bank or building society**

Please pay Financial Administration Services Ltd. Direct Debits from the account detailed in this Instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this Instruction may remain with Financial Administration Services Ltd and, if so, details will be passed electronically to my bank/building society.

**Bank/building society account number**

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**Branch sort code**

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Signature(s)
Date

Banks and building societies may not accept Direct Debit Instructions for some types of account

DD12



Instruction to your bank or building society to pay by Direct Debit



Please fill in the whole form using a ballpoint pen and send to:  
Fidelity, PO Box 391, Tadworth, KT20 9FU.

**Name and full postal address of your bank or building society**

To: The Manager	Bank/building society
Address	
Postcode	

**Service User Number**

4	4	9	7	0	6
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**Reference**

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**Name(s) of account holder(s)**

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**Instruction to your bank or building society**

Please pay FIL SIPP Trustee (UK) Limited. Direct Debits from the account detailed in this Instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this Instruction may remain with FIL SIPP Trustee (UK) Limited and, if so, details will be passed electronically to my bank/building society.

**Bank/building society account number**

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**Branch sort code**

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Signature(s)
Date

Banks and building societies may not accept Direct Debit Instructions for some types of account

DD12

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FILSIPPThirdPartyIntP



## 6 Your application summary

### 6.1 Occupational scheme opt in/out

#### 6.1.1 Have you opted out of an occupational pension scheme or are you planning to opt out of an occupational pension scheme in favour of making payments to this plan?

Yes  No

### 6.2 Declarations

I confirm that where I do not understand any or all of the information relating to my application for the Fidelity SIPP, I will seek suitable advice before proceeding.

In relation to my application for the Fidelity SIPP, I make the declarations set out below

I declare that:

- I have been given copies of the Fidelity SIPP Terms & Conditions, Key Features Document and key information documents or product summary or product summary relevant to the chosen fund(s) to read, and acknowledge that I have read these documents and will be bound by them (as may be amended from time to time) in the event of my application being successful.
- I agree to pay all the charges related to the Fidelity SIPP as set out on the Key Features Document.
- I confirm that the information I have provided on the application, and any other documents completed in connection with this application, is correct, complete and not misleading.
- By signing this form I confirm that I am not a US citizen, that I am not resident in the US, and that I do not have an obligation to pay tax to the US tax authorities on my worldwide income.
- If I have selected Retirement Builder as my investment choice - I understand that the fund that Retirement Builder currently invests in may be swapped for an alternative fund following periodic reviews. If this happens, I agree to my investment being automatically switched into the replacement fund. Fidelity will inform me once this has been done.
- I confirm that I will not request the withdrawal of monies held in my Fidelity SIPP Account to provide benefits for me or any income or distribution earned on those monies, other than in accordance with the Rules (as this term is defined in the Fidelity SIPP Terms & Conditions)
- I confirm that any penalty or tax charge arising as a result of an unauthorised payment being made, except as a result of an error or omission in the part of the Fidelity SIPP Scheme Administrator (as this term is defined in the Fidelity SIPP Terms & Conditions), can be deducted from my Fidelity SIPP Account and paid to the Revenue. If there are insufficient funds in my Fidelity SIPP Account to cover this amount, I agree to pay the Scheme Administrator the balance of the penalty and/or tax charge.
- If contributions are to be paid:
  - a) I am under age 75 and a relevant UK individual under Section 189 of Finance Act 2004 and not a US person
  - b) The total of the member contributions paid to my Fidelity SIPP and to other registered pension schemes, on which I am entitled to tax relief, under Section 188 of Finance Act 2004, will not exceed, in any tax year, the higher of:
    - The basic amount (currently £3,600 gross); or
    - 100% of my relevant UK earnings (as defined in Section 189 of Finance Act 2004 in the tax year);
  - c) the declaration in b) is correct, to the best of my knowledge and belief;
  - d) I will give notice to the Scheme Administrator if an event occurs, as a result of which I will no longer be entitled to relief on my contributions, under section 188 of Finance Act 2004. I will give this notice by the later of:
    - end of the tax year in which the event occurs; and
    - 30 days of the event
- I confirm that I have read the data protection statement set out in the Fidelity SIPP Terms & Conditions and agree that my personal data (including any sensitive data) may be used for the purposes described (subject to me exercising my right not to be contacted with details of other products and services).
- I am aware that you may make searches at credit or electronic reference agencies, for the purpose of verifying my identity. I am also aware that the credit reference agencies will record details of the search whether or not my application is successful and that this is not a credit check and will not be used or seen by lenders to assess my ability to obtain future credit.
- Where I have made this application electronically in accordance with the Electronics Communications Act 2000, please accept a copy of this declaration as my authority to release all necessary information to Fidelity.
- I confirm that I have not received advice from Fidelity in connection with this application

### Marketing preference

The Fidelity International Group would like to contact you from time to time about investment products and services that we feel may be of interest to you. If you would prefer not to receive these updates, please mark the boxes below. You can opt out of these communications at any time.

Do not send me updates by email

Do not send me updates by post or phone

### Your signature

By signing here you confirm that you've read and completed all relevant sections as per the instructions on this form.

#### Signature

Date signed

(DDMMYYYY)

## The Direct Debit Guarantee



- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits
- If there are any changes to the amount, date or frequency of your Direct Debit, Financial Administration Services Ltd will notify you ten working days in advance of your account being debited or as otherwise agreed. If you request Financial Administration Services Ltd to collect a payment, confirmation of the amount and date will be given to you at the time of the request.
- If an error is made in the payment of your Direct Debit, by Financial Administration Services Ltd or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society – If you receive a refund you are not entitled to, you must pay it back when Financial Administration Services Ltd asks you to.
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.

## The Direct Debit Guarantee



- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits
- If there are any changes to the amount, date or frequency of your Direct Debit, FIL SIPP Trustee (UK) Limited will notify you ten working days in advance of your account being debited or as otherwise agreed. If you request FIL SIPP Trustee (UK) Limited to collect a payment, confirmation of the amount and date will be given to you at the time of the request.
- If an error is made in the payment of your Direct Debit, by FIL SIPP Trustee (UK) Limited or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society – If you receive a refund you are not entitled to, you must pay it back when FIL SIPP Trustee (UK) Limited asks you to.
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.